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| TRANSMITTAL LETTER T DESIGNATED/ELECTED | | ATTORNEY'S DOCKET NUMBER 26148.1180 | | | |
|--|---------------------------|--|--|--|--|
| CONCERNING A SUBMISSI | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5 | | | |
| IATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | |

INTERN PCT/US2004/013756 May 5, 2004 May 5, 2003 TITLE OF INVENTION

SHOCK PROTEIN APPLICANT(S) FOR DO/EO/US

| Tzw-Cho | OU WILL | and Chi | en Fu | HING |
|----------|---------|---------|-------|------|
| TZVV-Cnt | טעע עסכ | ano Uni | en ru | пиис |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

ANTI-CANCER DNA VACCINE EMPLOYING PLASMIDS ENCODING SIGNAL SEQUENCE, MUTANT ONCO-PROTEIN ANTIGEN, AND HEAT

- This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3.

(5), (6), (9) and (21) indicated below.

- The US has been elected (Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto.
 - has been previously submitted under 35 U.S.C. 154(d)(4). h
- -Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7.
 - are attached hereto (required only if not communicated by the International Bureau). 'a.
 - åb. has been communicated by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT expired. C.
 - have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- An English language translation of the annexes of the International Preliminary Examination Report under PCT **10**. \square Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12.
- 13. A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. An substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFT 1.821- 1.825.
- 18. A second copy of the published International Application under 25 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- Ŀ 20. Other items or information: International Search Report, Written Opinion

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC06 Rec'd PCT/PTO 07 NOV 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| U.S. APPLICATION NO (/ known see to (TR 95) PCT/US2004/013758 | | | = ' | INTERNATIONAL APPLICATION NO. May 5, 2004 | | ATTORNEY'S DOCKET NUMBER 26148.1180 | | |
|---|---|---|---|--|-------------------|--|----|--|
| 21. The following fees are submitted: | | | | | | | | |
| a) Basic national fee\$300.00 | | | | | | \$300.00 | | |
| b) Examina | ntion fee | ••••• | | \$2 | 200.00 | \$200.00 | | |
| c) Search f | ee | • | | \$5 | 500.00 | \$500.00 | | |
| | TOTAL OF ABOVE CAL | CULATIONS | = | \$10 | 00.00 | \$1000.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets | ofe I Fyira chapte I | | reach additional 50 or fraction round up to a while number) | | | | | |
| - 100 = | = | | | | x \$250.00 | \$ | | |
| Surcharge of \$140.0 priority date (37 CFF | 00 for furnishing the oath R 1.492(e)). | or declaration | later than 30 months fro | om the earlie | st claimed | \$ | | |
| CLAIMS | NUMBER FII | _ED | NUMBER EXTRA | R | ATE | \$ | | |
| Total Claims | 3 | 7 - 20 = | 17 | x | \$50.00 | \$850.00 | | |
| Independent clair | ns | 1 - 3 = | 0 | x \$ | 200.00 | \$ | | |
| MULTIPLE DEPEN | DENT CLAIM(S) (if applic | able) | | + \$: | 360.00 | \$ | | |
| | | | TOTAL OF A | BOVE CALC | ULATIONS = | \$1850.00 | | |
| Applicant CLAIMS SMALL ENTITY STATUS. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | e are | \$925.00 | | |
| , | | | | | SUBTOTAL = | \$925.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | \$ | | | |
| | | | | TOTAL NATI | ONAL FEE = | \$925.00 | | |
| | e enclosed assignment (3 neet (37 CFR 3.28, 3.31). | | | st be accomp | anied by an + | \$ | | |
| | | | то | TAL FEES E | NCLOSED = | \$925.00 | | |
| | | | | | | Amount to be refunded: | \$ | |
| | | | | | | Amount to be charged: | \$ | |
| a. A chec | k in the amount of \$_9 | 25.00_ to co | ver the above fees is | enclosed. | | | | |
| b. Please charge my Deposit Account No. 50-0911 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | |
| SEND FILE CONNECT ONDERVOL TO. | | | | | | | | |
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| McKenna Long & 1900 K Street, NV | | | / | / // SIG | NATURE | | | |
| Washington, DC 20006 Shmuel Livnat | | | | | | | | |
| (202) 496-7500 Telephone NAME (202) 496-7756 Facsimile | | | | | | | | |
| | | | | 33,9 RE0 | 949 GISTRATION | NUMBER | | |
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